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Attorneys for Debtors and Reorganized Debtors

**UNITED STATES BANKRUPTCY COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION**

In re:**PG&E CORPORATION,****- and -****PACIFIC GAS AND ELECTRIC COMPANY,****Debtors.**

- ☐ Affects PG&E Corporation
☐ Affects Pacific Gas and Electric Company
☒ Affects both Debtors

** All papers shall be filed in the Lead Case, No. 19-30088 (DM).*

THE OBJECTION DESCRIBED IN THIS NOTICE ASKS THE BANKRUPTCY COURT TO DISALLOW AND/OR EXPUNGE THE CLAIMS LISTED IN EXHIBIT 1 OF THE OBJECTION.

CLAIMANTS RECEIVING THIS NOTICE SHOULD READ THIS NOTICE AND THE OBJECTION CAREFULLY BECAUSE THE OBJECTION MAY AFFECT YOUR RIGHTS, INCLUDING ANY RIGHT TO RECEIVE A DISTRIBUTION ON YOUR CLAIM IN THIS CASE.

IF YOU HAVE QUESTIONS, PLEASE CONTACT PRIME CLERK, LLC AT (844) 339-4217.

FILED**OCT 26 2021**

UNITED STATES BANKRUPTCY COURT
SAN FRANCISCO, CA

Bankruptcy Case No. 19-30088 (DM)

Chapter 11

(Lead Case) (Jointly Administered)

NOTICE OF HEARING ON REORGANIZED DEBTORS' FOURTEENTH SECURITIES CLAIMS OMNIBUS OBJECTION (CLAIMS BARRED BY THE STATUTE OF REPOSE)

Response Deadline:
October 26, 2021, 4:00 p.m. (PT)

Hearing Information If Timely Response Made:

Date: November 9, 2021

Time: 10:00 a.m. (Pacific Time)

Place: (Tele/Videoconference Appearances Only)

United States Bankruptcy Court

Courtroom 17, 16th Floor

San Francisco, CA 94102

1 PLEASE TAKE NOTICE that on January 29, 2019 (the "**Petition Date**"), PG&E Corporation
2 and Pacific Gas and Electric Company, as debtors and reorganized debtors (the "**Debtors**," or as
3 reorganized pursuant to the Plan, the "**Reorganized Debtors**") in the above-captioned chapter 11 cases
4 (the "**Chapter 11 Cases**"), each filed a voluntary petition for relief under chapter 11 of title 11 of the
United States Code (the "**Bankruptcy Code**") with the United States Bankruptcy Court for the Northern
District of California (San Francisco Division) (the "**Bankruptcy Court**").

5 PLEASE TAKE FURTHER NOTICE that the Bankruptcy Court will hold a hearing on
6 November 9, 2021, at 10:00 a.m. (Pacific Time) (the "**Omnibus Hearing**") before the Honorable
Dennis Montali, United States Bankruptcy Judge. Pursuant to the Bankruptcy Court's *Seventh Amended*
7 *General Order No. 38 – COVID-19 Public Health Emergency*, the Omnibus Hearing will not be
8 conducted in person but will instead be conducted by telephone or video. All interested parties should
consult the Bankruptcy Court's website at www.canb.uscourts.gov for information about Court
9 operations during the COVID-19 pandemic. The Bankruptcy Court's website provides information
10 regarding how to arrange a telephonic or video appearance. If you have any questions regarding how to
appear at a Court hearing, you may contact the Bankruptcy Court by calling 888-821-7606 or by using
the Live Chat feature on the Bankruptcy Court's website.

11 PLEASE TAKE FURTHER NOTICE that, in addition to any other matters to be heard at the
12 Omnibus Hearing, the Bankruptcy Court is scheduled to hear the *Reorganized Debtors' Fourteenth*
Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose), filed on September 28,
2021 [Docket No. 11339] (the "**Omnibus Objection**").

13 PLEASE TAKE FURTHER NOTICE that by the Omnibus Objection, the Reorganized
14 Debtors seek to disallow and/or expunge the proofs of claim listed in **Exhibit 1** of the Omnibus
15 Objection. The basis of the objection to these proofs of claim is that they were barred by the three-year
statute of repose for claims arising under Section 11 of the Securities Act of 1933.

16 If you are receiving this notice and have filed a proof of claim in the Chapter 11 Cases, you
17 should read the Omnibus Objection carefully, including reviewing Exhibit 1 thereto to confirm
18 that your claim is subject to the Omnibus Objection, as it may affect any right you have to receive
a distribution in these Chapter 11 Cases on your claim.

19 PLEASE TAKE FURTHER NOTICE that any oppositions or responses to the Omnibus
20 Objection must be in writing and filed with the Bankruptcy Court so as to be received by no later than
the Response Deadline, **4:00 p.m. (Pacific Time) on October 26, 2021**. Any oppositions or responses
21 must comply with the requirements set forth in and be filed and served as described in Exhibit A-3 to
the *Order Approving Securities ADR and Related Procedures for Resolving Subordinated Securities*
22 *Claims* dated January 25, 2021 [Docket No. 10015-1] (the "**Securities Omnibus Objection**
Procedures"). Any service by email should be made on counsel for the Reorganized Debtors by
23 emailing your response or opposition to PGEsecuritiesclaims@weil.com. A copy of the Securities
24 Omnibus Objection Procedures is attached to this Notice. **Any relief requested in the Omnibus**
Objection may be granted without a hearing if no opposition or response is timely filed and served
25 **in accordance with the Securities Omnibus Objection Procedures. If you fail to file an opposition**
or response, the Bankruptcy Court may sustain the objection to your Claim and drop the matter
26 **from the scheduled hearing without further notice to you.** In deciding the Omnibus Objection, the
Bankruptcy Court may consider any other document filed in these Chapter 11 Cases and related
adversary proceedings.



Individual Retirement Account of
DENNIS ANTHONY BRAWFORD
CHARLES SCHWAB & CO INC CUST
IRA ROLLOVER

Account Number
4144-8186

Trade Confirmation

Cut paper clutter. Switch to eConfirms at schwab.com/paperless.

FAX: 212-310-8007

ATTN: MRS. TONI SCHNICKEL
Bankruptcy Case 19-3008(DK)

SIRS; 10,000 PLE BOND

ARE WITHIN THESE

TRANSACTIONS,

THANK YOU, Sincerely

Dennis Brawford

206-979-0101

DENNIS ANTHONY BRAWFORD
CHARLES SCHWAB & CO INC CUST
IRA ROLLOVER
2031 VERNON RD
LAKE STEVENS WA
98258-8889

11/11-67511-DC50A052

Charles Schwab & Co., Inc., 211 Main Street, San Francisco, CA 94105. Telephone: 1-800-435-4000.
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www.schwab.com



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If you have questions about this report, please contact Schwab at 1-800-435-4000.

Terms and Conditions

Retain for your records

It is agreed between Charles Schwab & Co., Inc. ("Schwab") and the customer:

- (1) If you find any errors or omissions on this trade confirmation, please call Schwab immediately using any of the appropriate phone numbers listed above. To protect your rights, you must also notify us in writing. You should notify us immediately upon discovery of the error, but in any event no later than 10 days after you receive the confirmation. This confirmation will be deemed conclusive after 10 days. Schwab will not be liable for any losses that occur because of your failure to promptly notify us of an error.
- (2) All transactions are subject to the rules, regulations, requirements and customs of the Federal Reserve Board, the exchange or market (and its clearing agency, if any) where executed, any association whose rules and regulations govern transactions in said market, and your account agreement(s) with Schwab. We will furnish, upon written request, the date and time when this transaction took place and the name of the other party to the transaction. Federal regulations require that all brokerage firms report sales/transactions to the IRS unless the order was placed through an IRA, corporate or trustee account.
- (3) Securities purchased by you or held by us may be hypothecated and/or commingled with securities carried for other customers.
- (4) Payment for all purchases or delivery of securities sold is due promptly and in any event no later than the settlement date. We reserve the right to liquidate securities or otherwise cover a position without notice at any time, including prior to settlement date, when in our judgment the value of the collateral is in jeopardy or the account poses a risk to the firm. You will be held responsible for any resulting loss.
- (5) Mutual Fund Transaction Fees shown on this confirmation, if applicable, are charged by Schwab. You can choose to buy the shares directly from the fund itself or its principal underwriter or distributor without paying Schwab's transaction fee. Please consult the prospectus for information about any other fees which a fund might charge.
- (6) If the symbol (*) appears on the item description on your confirmation, the offering of the described security has been made solely by means of the prospectus relating thereto, a copy of which has been or will be delivered to you.
- (7) Call features, in addition to any identified on the face hereof, may exist for certain common stocks, preferred stocks and bonds that could affect yield. For additional information or questions, contact Schwab using any of the appropriate numbers listed above. Securities held for you at Schwab or at a central clearing facility, but not registered in your name, are commingled with identical securities held for other customers. In the event securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. Should your security be so selected, it will be presented for redemption and your account credited with the proceeds. Clients have the right to withdraw uncalled, fully paid securities at any time prior to a partial call, and also to withdraw excess margin securities not subject to restrictions.
- (8) Any credit ratings shown on this confirmation are as of the time the confirmation was generated and may differ from any credit ratings provided at the time this transaction took place. The credit ratings for this security could have changed between the time of your transaction and the time

- (9) Schwab received remuneration from the broker-dealer or market center through which the transaction was executed. The nature and source of which such remuneration may vary and will be disclosed to you upon written request.
- (10) If the security described on the front of this confirmation was issued by The Charles Schwab Corporation ("CSC") or an entity controlled by CSC, we are required to disclose that (a) Schwab is controlled by CSC or (b) Schwab and the issuer are under the common control of CSC.
- (11) Additional Load Fund Breakpoint Information: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. The sales charge you paid may differ slightly from the prospectus disclosed rate due to rounding calculations. Please refer to the prospectus or Statement of Additional Information. If you need additional assistance please contact your Schwab investment professional or financial advisor.
- (12) Transaction Fee - includes either fees charged for purchases and sales of certain mutual fund shares or fees charged for purchasing U.S. Treasury obligations via the auction process.
- (13) To obtain electronic copies of notices, offering documents, and documents incorporated by reference pertaining to Government Sponsored Enterprises ("GSE") and Federal Agency securities, visit the following web sites: Federal Farm Credit Bank (FFCB): <http://www.farmcredit-fccb.com>; Federal Home Loan Bank (FHLB): <http://www.fhlb-of.com>; Federal Home Loan Mortgage Corporation (FHLMC): for debt securities: <http://www.freddiemac.com/debt>, for mortgage securities: <http://www.freddiemac.com/mbs>; Federal National Mortgage Association (FNMA): <http://www.fanniemae.com>; Government National Mortgage Association (GNMA): <http://www.ginniemae.gov>.
- (14) If the security is a TBA (To Be Announced) status, further pool information is available by contacting the issuer as follows: 1-800-237-8627 for Fannie Mae and 1-800-336-3672 for Freddie Mac and 1-800-234-4662 for Ginnie Mae or by emailing Fannie Mae at bestmbs@fanniemae.com or Freddie Mac at Investor_Inquiry@FreddieMac.com.
- (15) To request a paper copy of an offering document, official statement, or final prospectus, call 888-835-8846.
- (16) For asset-backed securities, which represent an interest in or are secured by a pool of receivables or other financial assets subject continuously to prepayment, the actual yield may vary according to the rate at which the underlying receivables or other financial assets are prepaid. Information concerning the factors that affect yield (including at a minimum estimated yield, weighted average life and the prepayment assumptions underlying yield) will be furnished upon written request.
- (17) If this transaction involves a zero-coupon security, no periodic interest payments will be made and, if the security is callable, it may be called below maturity value and if callable and available in bearer form it may be called without notice by mail to the holder, unless registered.
- (18) In the case of a bond transaction that had a factor, the principal amount will reflect the modified amount resulting from the bond factor. Additional information will be furnished upon request.

No.	Original Creditor	Claims to be Disallowed/Expunged	CUSIP Number(s) Indicated in Claimant's Trading Data	Debtor	Date Filed	Secured	Administrative	Priority	Unsecured	Total ¹	Basis for Objection
1	AETNA LIFE INSURANCE COMPANY (SEGMENT 10) LTC AETNA LIFE INSURANCE COMPANY ATTN: MICHAEL GREENE RTAA 151 FARMINGTON AVENUE HARTFORD CT 06156	99224	694308H0 694308H10	PG&E Corporation	4/13/2020	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	Claims Barred by the Statute of Repose
2	AETNA LIFE INSURANCE COMPANY (SEGMENT 2) SINGLE PREMIUM ATTN: MICHAEL GREENE RTAA 151 FARMINGTON AVENUE HARTFORD CT 06156	99129	694308H0, 694308H11, 694308H21, 694308H10, 694308H15, 694308H14	PG&E Corporation	4/13/2020	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	Claims Barred by the Statute of Repose
3	AMERICAN EXPRESS RETIREMENT SAVINGS PLAN C/O BARBARA KONTJE 280 VESLEY STREET MAIL CODE: 01-35-41 NEW YORK NY 10285	100736	694308H02, 694308H14, 694308H31	Pacific Gas and Electric Company	4/16/2020	\$0.00	\$0.00	\$0.00	\$63,213.25	\$63,213.25	Claims Barred by the Statute of Repose
4	BECKER, HELEN A 11698 CARACAS BLVD BOYNTON BEACH FL 33437	103811	694308H30	Pacific Gas and Electric Company	5/4/2020	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	Claims Barred by the Statute of Repose
5	BLUE CROSS BLUE SHIELD OF NORTH CAROLINA C/O JULIE B. PAPE, ESQ. KILPATRICK TOWNSEND & STOCKTON LLP 1001 WEST FOURTH STREET WINSTON-SALEM NC 27101-2400	101319	694308H30, 694308H14	Pacific Gas and Electric Company	4/16/2020	\$0.00	\$0.00	\$0.00	\$1,481,785.10	\$1,481,785.10	Claims Barred by the Statute of Repose
6	BRAWFORD, DENNIS ANTHONY 2031 VERNON RD LAKE STEVENS WA 98258	106455	694308H02	PG&E Corporation	5/26/2020	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	Claims Barred by the Statute of Repose
7	CARL S. SLOTNICK & LINDA J. SLOTNICK, JOINT TENANTS 7 PARKWAY DRIVE ROSLYN HEIGHTS NY 11577	103820	694308H08	Pacific Gas and Electric Company	5/4/2020	\$0.00	\$0.00	\$0.00	\$218.29	\$218.29	Claims Barred by the Statute of Repose
8	DRCT FBO CREDIT SUISSE FUNDS AG (4454) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130	101098	694308G18, 694308H06, 694308H02	Pacific Gas and Electric Company	4/16/2020	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	Claims Barred by the Statute of Repose
9	DRCT FBO CREDIT SUISSE FUNDS AG (727) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130	101174	694308H02	Pacific Gas and Electric Company	4/16/2020	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	Claims Barred by the Statute of Repose
10	DRCT FBO CREDIT SUISSE FUNDS AG (4428) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130	101154	694308G10, 694308H05, 694308H01, 694308H07, 694308G30, 694308G18	Pacific Gas and Electric Company	4/16/2020	\$0.00	\$0.00	\$0.00	\$702,195.00	\$702,195.00	Claims Barred by the Statute of Repose

¹ Claims listed as \$0.00 seek an unliquidated amount.

Exhibit 1

Claims Barred by the Statute of Repose

Weil, Gotshal & Manges LLP
767 Fifth Avenue
New York, NY 10153-0119

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Individual Retirement Account of
DENNIS ANTHONY BRAWFORD
CHARLES SCHWAB & CO INC CUST
IRA ROLLOVER

Account Number
4144-8186

Trade Confirmation

BOUGHT AMERICAN CENTURY GINNIE MAE INV Cash Divs/Cash Cap Gains (Symbol: BGNMX)

Security No./CUSIP: 025081-60-5

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Type: Cash	Trade: 05/27/16	Settle: 05/31/16	Total Amount (\$)
4,642.526	10.77	50,000.00	N/A				50,000.00

For this security:

- Executed by fund
- Unsolicited trade
- A short term redemption fee is charged for selling shares of this fund held 90 days or less. Other rules may apply to Schwab Institutional and Retirement Plan Services accounts.
- Schwab acted as your agent.
- Schwab may receive remuneration from the registered investment company or other collective investment vehicle ("investment company"), its affiliate and/or its unaffiliated service provider for services rendered to, or in connection with certain shares of, the investment company. The remuneration may be based on the value of the aggregate net assets of such shares held in Schwab customer accounts. Further information can be furnished on written request.
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BOUGHT METROPOLITAN WEST TOTAL RETURN BOND M Cash Divs/Cash Cap Gains (Symbol: MWTRX)

Security No./CUSIP: 592905-10-3

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Type: Cash	Trade: 05/27/16	Settle: 05/31/16	Total Amount (\$)
4,612.546	10.84	50,000.00	N/A				50,000.00

For this security:

- Executed by fund
- Unsolicited trade
- A short term redemption fee is charged for selling shares of this fund held 90 days or less. Other rules may apply to Schwab Institutional and Retirement Plan Services accounts.
- Schwab acted as your agent.

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Disclosures Continued on Next Page

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Individual Retirement Account of
DENNIS ANTHONY BRAWFORD
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IRA ROLLOVER

Account Number
4144-8186

Trade Confirmation

BOUGHT

METROPOLITAN WEST TOTAL RETURN BOND M Cash Divs/Cash Cap Gains (Symbol: MWTRX) Continued

Security No./CUSIP: 592905-10-3

Type: Cash Trade: 05/27/16 Settle: 05/31/16

Disclosures Continued

- Schwab may receive remuneration from the registered investment company or other collective investment vehicle ("Investment company"), its affiliate and/or its unaffiliated service provider for services rendered to, or in connection with certain shares of, the investment company. The remuneration may be based on the value of the aggregate net assets of such shares held in Schwab customer accounts. Further information can be furnished on written request.
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Individual Retirement Account of
DENNIS ANTHONY BRAWFORD
CHARLES SCHWAB & CO INC CUST
IRA ROLLOVER

Account Number
4144-8186

Trade Confirmation

SOLD AMERICAN CENTURY GINNIE MAE INV Cash Divs/Cash Cap Gains (Symbol: BGNMXX)

Security No./CUSIP: 025081-60-5

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Type: Cash	Trade: 11/11/16	Settle: 11/14/16	Total Amount (\$)
4,642.526	10.69	49,628.60	N/A				49,628.60

For this security:

- The cost basis method requested was Average. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Executed by fund
- Unsolicited trade
- Schwab acted as your agent.
- Schwab may receive remuneration from the registered investment company or other collective investment vehicle ("investment company"), its affiliate and/or its unaffiliated service provider for services rendered to, or in connection with certain shares of, the investment company. The remuneration may be based on the value of the aggregate net assets of such shares held in Schwab customer accounts. Further information can be furnished on written request.

Internal Use Only: 1,1

SOLD METROPOLITAN WEST TOTAL RETURN BOND M Cash Divs/Cash Cap Gains (Symbol: MWTRX)

Security No./CUSIP: 592905-10-3

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Type: Cash	Trade: 11/11/16	Settle: 11/14/16	Total Amount (\$)
4,612.546	10.79	49,769.37	N/A				49,769.37

For this security:

- The cost basis method requested was Average. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Executed by fund
- Unsolicited trade

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Disclosures Continued on Next Page

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Individual Retirement Account of
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CHARLES SCHWAB & CO INC CUST
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Account Number
4144-8186

Trade Confirmation

BOUGHT SPDR S&P 500 ETF (Symbol: SPY)

Security No./CUSIP: 78462F-10-3

		Type: Cash	Trade: 11/11/16	Settle: 11/16/16
Quantity	Price (\$)			
936	216.13			
		Principal (\$)		
		202,297.68		
		Charges and/or Interest (\$)		
		Commission 8.95		
				Total Amount (\$)
				202,306.63

For this security:

- Unless you have already instructed us differently, we will: hold this security in your account.
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Internal Use Only: 1, E

BOUGHT VANGUARD S&P SMALL CAP 600 VALUE ETF (Symbol: VIOV)

Security No./CUSIP: 921932-77-8

		Type: Cash	Trade: 11/11/16	Settle: 11/16/16
Quantity	Price (\$)			
620	110.37			
		Principal (\$)		
		68,429.40		
		Charges and/or Interest (\$)		
		Commission 8.95		
				Total Amount (\$)
				68,438.35

For this security:

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Trade Confirmation

SOLD METROPOLITAN WEST TOTAL RETURN BOND M Cash Divs/Cash Cap Gains (Symbol: MWTRX) *Continued*

Security No./CUSIP: 592905-10-3

Type: Cash Trade: 11/11/16 Settle: 11/14/16

Disclosures Continued

- Schwab acted as your agent.
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BOUGHT VANGUARD BALANCED INDEX FD INV CL SHRS Reinv Divs/Reinv Cap Gns (Symbol: VBINX)

Security No./CUSIP: 921931-10-1

Type: Cash Trade: 11/11/16 Settle: 11/14/16

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
1,106.819	30.65	33,924.00	Transaction Fee 76.00	34,000.00

For this security:

- Executed by fund
- Unsolicited trade
- Schwab acted as your agent.
- Schwab may receive remuneration from the registered investment company or other collective investment vehicle ("investment company"), its affiliate and/or its unaffiliated service provider for services rendered to, or in connection with certain shares of, the investment company. The remuneration may be based on the value of the aggregate net assets of such shares held in Schwab customer accounts. Further information can be furnished on written request.
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IRA ROLLOVER

Account Number
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Trade Confirmation

SOLD METROPOLITAN WEST TOTAL RETURN BOND M Cash Divs/Cash Cap Gains (Symbol: MWTRX) *Continued*

Security No./CUSIP: 592905-10-3

Type: Cash Trade: 11/11/16 Settle: 11/14/16

Disclosures Continued

- Schwab acted as your agent.
- Schwab may receive remuneration from the registered investment company or other collective investment vehicle ("investment company"), its affiliate and/or its unaffiliated service provider for services rendered to, or in connection with certain shares of, the investment company. The remuneration may be based on the value of the aggregate net assets of such shares held in Schwab customer accounts. Further information can be furnished on written request.

BOUGHT VANGUARD BALANCED INDEX FD INV CL SHRS Reinv Divs/Reinv Cap Gns (Symbol: VBINX)

Security No./CUSIP: 921931-10-1

Type: Cash Trade: 11/11/16 Settle: 11/14/16

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Total Amount (\$)
1,106.819	30.65	33,924.00	Transaction Fee 76.00	34,000.00

For this security:

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- Prospectus prev. sent

Internal Use Only: 1,1

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IRA ROLLOVER

Account Number
4144-8186

Trade Confirmation

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DENNIS ANTHONY BRAWFORD
CHARLES SCHWAB & CO INC CUST
IRA ROLLOVER
2031 VERNON RD
LAKE STEVENS WA 98258-8889

05/27-67511-DC81A051

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Terms and Conditions

Retain for your records

It is agreed between Charles Schwab & Co., Inc. ("Schwab") and the customer:

- (1) If you find any errors or omissions on this trade confirmation, please call Schwab immediately using any of the appropriate phone numbers listed above. To protect your rights, you must also notify us in writing. You should notify us immediately upon discovery of the error, but in any event no later than 10 days after you receive the confirmation. This confirmation will be deemed conclusive after 10 days. Schwab will not be liable for any losses that occur because of your failure to promptly notify us of an error.
- (2) All transactions are subject to the rules, regulations, requirements and customs of the Federal Reserve Board, the exchange or market (and its clearing agency, if any) where executed, any association whose rules and regulations govern transactions in said market, and your account agreement(s) with Schwab. We will furnish, upon written request, the date and time when this transaction took place and the name of the other party to the transaction. Federal regulations require that all brokerage firms report sales transactions to the IRS unless the order was placed through an IRA, corporate or trustee account.
- (3) Securities purchased by you or held by us may be hypothecated and/or commingled with securities carried for other customers.
- (4) Payment for all purchases or delivery of securities sold is due promptly and in any event no later than the settlement date. We reserve the right to liquidate securities or otherwise cover a position without notice at any time, including prior to settlement date, when in our judgment the value of the collateral is in jeopardy or the account poses a risk to the firm. You will be held responsible for any resulting loss.
- (5) Mutual Fund Transaction Fees shown on this confirmation, if applicable, are charged by Schwab. You can choose to buy the shares directly from the fund itself or its principal underwriter or distributor without paying Schwab's transaction fee. Please consult the prospectus for information about any other fees which a fund might charge.
- (6) If the symbol (*) appears on the item description on your confirmation, the offering of the described security has been made solely by means of the prospectus relating thereto, a copy of which has been or will be delivered to you.
- (7) Call features, in addition to any identified on the face hereof, may exist for certain common stocks, preferred stocks and bonds that could affect yield. For additional information or questions, contact Schwab using any of the appropriate numbers listed above. Securities held for you at Schwab or at a central clearing facility, but not registered in your name, are commingled with identical securities held for other customers. In the event securities so held are called by the issuer, the securities to be called will be determined by an impartial and random selection system. Should your security be so selected, it will be presented for redemption and your account credited with the proceeds. Clients have the right to withdraw uncalled, fully paid securities at any time prior to a partial call, and also to withdraw excess margin securities not subject to restrictions.
- (8) Any credit ratings shown on this confirmation are as of the time the confirmation was generated and may differ from any credit ratings provided at the time this transaction took place. The credit ratings for this security could have changed between the time of your transaction and the time

- this confirmation was generated. For additional information or questions, contact Schwab using any of the appropriate numbers listed above.
- (9) Schwab received remuneration from the broker-dealer or market center through which the transaction was executed. The nature and source of which such remuneration may vary and will be disclosed to you upon written request.
 - (10) If the security described on the front of this confirmation was issued by The Charles Schwab Corporation ("CSC") or an entity controlled by CSC, we are required to disclose that (a) Schwab is controlled by CSC or (b) Schwab and the issuer are under the common control of CSC.
 - (11) Additional Load Fund Breakpoint Information: You may be eligible for breakpoint discounts based on the size of your purchase, current holdings or future purchases. The sales charge you paid may differ slightly from the prospectus disclosed rate due to rounding calculations. Please refer to the prospectus or Statement of Additional Information. If you need additional assistance please contact your Schwab investment professional or financial advisor.
 - (12) Transaction Fee - includes either fees charged for purchases and sales of certain mutual fund shares or fees charged for purchasing U.S. Treasury obligations via the auction process.
 - (13) To obtain electronic copies of notices, offering documents, and documents incorporated by reference pertaining to Government Sponsored Enterprises ("GSE") and Federal Agency securities, visit the following web sites: Federal Farm Credit Bank (FFCB): <http://www.farmcredit-fcb.com>; Federal Home Loan Bank (FHLB): <http://www.fhlb-of.com>; Federal Home Loan Mortgage Corporation (FHLMC): for debt securities: <http://www.freddiemac.com/debt>, for mortgage securities: <http://www.freddiemac.com/mbs>; Federal National Mortgage Association (FNMA): <http://www.freddiemac.com>; Government National Mortgage Association (GNMA): <http://www.ginniemae.gov>.
 - (14) If the security is a TBA (To Be Announced) status, further pool information is available by contacting the issuer as follows: 1-800-237-8627 for Fannie Mae and 1-800-336-3672 for Freddie Mac and 1-800-234-4662 for Ginnie Mae or by emailing Fannie Mae at bestmbs@fanniemae.com or Freddie Mac at Investor_Inquiry@FreddieMac.com.
 - (15) To request a paper copy of an offering document, official statement, or final prospectus, call 888-835-8846.
 - (16) For asset-backed securities, which represent an interest in or are secured by a pool of receivables or other financial assets subject continuously to prepayment, the actual yield may vary according to the rate at which the underlying receivables or other financial assets are prepaid. Information concerning the factors that affect yield (including at a minimum estimated yield, weighted average life and the prepayment assumptions underlying yield) will be furnished upon written request.
 - (17) If this transaction involves a zero-coupon security, no periodic interest payments will be made and, if the security is callable, it may be called below maturity value and if callable and available in bearer form it may be called without notice by mail to the holder, unless registered.
 - (18) In the case of a bond transaction that had a factor, the principal amount will reflect the modified amount resulting from the bond factor. Additional information will be furnished upon request.



Individual Retirement Account of
DENNIS ANTHONY BRAWFORD
CHARLES SCHWAB & CO INC CUST
IRA ROLLOVER

Account Number
4144-8186

Trade Confirmation

BOUGHT AMERICAN CENTURY GINNIE MAE INV Cash Divs/Cash Cap Gains (Symbol: BGNMX)

Security No./CUSIP: 025081-60-5

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Type: Cash	Trade: 05/27/16	Settle: 05/31/16	Total Amount (\$)
4,642.526	10.77	50,000.00	N/A				50,000.00

For this security:

- Executed by fund
- Unsolicited trade
- A short term redemption fee is charged for selling shares of this fund held 90 days or less. Other rules may apply to Schwab Institutional and Retirement Plan Services accounts.
- Schwab acted as your agent.
- Schwab may receive remuneration from the registered investment company or other collective investment vehicle ("investment company"), its affiliate and/or its unaffiliated service provider for services rendered to, or in connection with certain shares of, the investment company. The remuneration may be based on the value of the aggregate net assets of such shares held in Schwab customer accounts. Further information can be furnished on written request.
- Prospectus prev. sent

Internal Use Only: 1,1

BOUGHT METROPOLITAN WEST TOTAL RETURN BOND M Cash Divs/Cash Cap Gains (Symbol: MWTRX)

Security No./CUSIP: 592905-10-3

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Type: Cash	Trade: 05/27/16	Settle: 05/31/16	Total Amount (\$)
4,612.546	10.84	50,000.00	N/A				50,000.00

For this security:

- Executed by fund
- Unsolicited trade
- A short term redemption fee is charged for selling shares of this fund held 90 days or less. Other rules may apply to Schwab Institutional and Retirement Plan Services accounts.
- Schwab acted as your agent.

Internal Use Only: 1,1

Disclosures Continued on Next Page

Please see "Terms and Conditions" for more information.



Individual Retirement Account of
DENNIS ANTHONY BRAWFORD
CHARLES SCHWAB & CO INC CUST
IRA ROLLOVER

Account Number
4144-8186

Trade Confirmation

BOUGHT METROPOLITAN WEST TOTAL RETURN BOND M Cash Divs/Cash Cap Gains (Symbol: MWTRX) *Continued*

Security No./CUSIP: 592905-10-3

Type: Cash Trade: 05/27/16 Settle: 05/31/16

Disclosures Continued

- Schwab may receive remuneration from the registered investment company or other collective investment vehicle ("investment company"), its affiliate and/or its unaffiliated service provider for services rendered to, or in connection with certain shares of, the investment company. The remuneration may be based on the value of the aggregate net assets of such shares held in Schwab customer accounts. Further information can be furnished on written request.
- Prospectus prev. sent

Please see "Terms and Conditions" for more information.

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San Francisco, CA 94108

Tel: 415 496 6723

Fax: 650 636 9251

Attorneys for Debtors and Reorganized Debtors

**UNITED STATES BANKRUPTCY COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION**

In re:

PG&E CORPORATION,

- and -

PACIFIC GAS AND ELECTRIC COMPANY,

Debtors.

- ☐ Affects PG&E Corporation
☐ Affects Pacific Gas and Electric Company
☒ Affects both Debtors

** All papers shall be filed in the Lead Case, No. 19-30088 (DM).*

THE OBJECTION DESCRIBED IN THIS NOTICE ASKS THE BANKRUPTCY COURT TO DISALLOW AND/OR EXPUNGE THE CLAIMS LISTED IN EXHIBIT 1 OF THE OBJECTION.

CLAIMANTS RECEIVING THIS NOTICE SHOULD READ THIS NOTICE AND THE OBJECTION CAREFULLY BECAUSE THE OBJECTION MAY AFFECT YOUR RIGHTS, INCLUDING ANY RIGHT TO RECEIVE A DISTRIBUTION ON YOUR CLAIM IN THIS CASE.

IF YOU HAVE QUESTIONS, PLEASE CONTACT PRIME CLERK, LLC AT (844) 339-4217.

Bankruptcy Case No. 19-30088 (DM)

Chapter 11

(Lead Case) (Jointly Administered)

NOTICE OF HEARING ON REORGANIZED DEBTORS' FOURTEENTH SECURITIES CLAIMS OMNIBUS OBJECTION (CLAIMS BARRED BY THE STATUTE OF REPOSE)

Response Deadline:
October 26, 2021, 4:00 p.m. (PT)

Hearing Information If Timely Response Made:

Date: November 9, 2021

Time: 10:00 a.m. (Pacific Time)

Place: (Tele/Videoconference Appearances Only)

United States Bankruptcy Court

Courtroom 17, 16th Floor

San Francisco, CA 94102

1 **PLEASE TAKE NOTICE** that on January 29, 2019 (the “**Petition Date**”), PG&E Corporation
2 and Pacific Gas and Electric Company, as debtors and reorganized debtors (the “**Debtors**,” or as
3 reorganized pursuant to the Plan, the “**Reorganized Debtors**”) in the above-captioned chapter 11 cases
4 (the “**Chapter 11 Cases**”), each filed a voluntary petition for relief under chapter 11 of title 11 of the
United States Code (the “**Bankruptcy Code**”) with the United States Bankruptcy Court for the Northern
District of California (San Francisco Division) (the “**Bankruptcy Court**”).

5 **PLEASE TAKE FURTHER NOTICE** that the Bankruptcy Court will hold a hearing on
6 **November 9, 2021, at 10:00 a.m. (Pacific Time)** (the “**Omnibus Hearing**”) before the Honorable
Dennis Montali, United States Bankruptcy Judge. Pursuant to the Bankruptcy Court’s *Seventh Amended*
7 *General Order No. 38 – COVID-19 Public Health Emergency*, the Omnibus Hearing will not be
8 conducted in person but will instead be conducted by telephone or video. All interested parties should
consult the Bankruptcy Court’s website at www.canb.uscourts.gov for information about Court
9 operations during the COVID-19 pandemic. The Bankruptcy Court’s website provides information
10 regarding how to arrange a telephonic or video appearance. If you have any questions regarding how to
appear at a Court hearing, you may contact the Bankruptcy Court by calling 888-821-7606 or by using
the Live Chat feature on the Bankruptcy Court’s website.

11 **PLEASE TAKE FURTHER NOTICE** that, in addition to any other matters to be heard at the
12 Omnibus Hearing, the Bankruptcy Court is scheduled to hear the *Reorganized Debtors’ Fourteenth*
Securities Claims Omnibus Objection (Claims Barred by the Statute of Repose), filed on September 28,
2021 [Docket No. 11339] (the “**Omnibus Objection**”).

13 **PLEASE TAKE FURTHER NOTICE** that by the Omnibus Objection, the Reorganized
14 Debtors seek to disallow and/or expunge the proofs of claim listed in **Exhibit 1** of the Omnibus
15 Objection. The basis of the objection to these proofs of claim is that they were barred by the three-year
statute of repose for claims arising under Section 11 of the Securities Act of 1933.

16 **If you are receiving this notice and have filed a proof of claim in the Chapter 11 Cases, you**
17 **should read the Omnibus Objection carefully, including reviewing Exhibit 1 thereto to confirm**
18 **that your claim is subject to the Omnibus Objection, as it may affect any right you have to receive**
a distribution in these Chapter 11 Cases on your claim.

19 **PLEASE TAKE FURTHER NOTICE** that any oppositions or responses to the Omnibus
20 Objection must be in writing and filed with the Bankruptcy Court so as to be received by no later than
the Response Deadline, **4:00 p.m. (Pacific Time) on October 26, 2021**. Any oppositions or responses
21 must comply with the requirements set forth in and be filed and served as described in Exhibit A-3 to
the *Order Approving Securities ADR and Related Procedures for Resolving Subordinated Securities*
22 *Claims* dated January 25, 2021 [Docket No. 10015-1] (the “**Securities Omnibus Objection**
Procedures”). Any service by email should be made on counsel for the Reorganized Debtors by
23 emailing your response or opposition to PGEscuritiesclaims@weil.com. A copy of the Securities
24 Omnibus Objection Procedures is attached to this Notice. **Any relief requested in the Omnibus**
Objection may be granted without a hearing if no opposition or response is timely filed and served
25 **in accordance with the Securities Omnibus Objection Procedures. If you fail to file an opposition**
or response, the Bankruptcy Court may sustain the objection to your Claim and drop the matter
26 **from the scheduled hearing without further notice to you.** In deciding the Omnibus Objection, the
Bankruptcy Court may consider any other document filed in these Chapter 11 Cases and related
27 adversary proceedings.
28



Individual Retirement Account of
DENNIS ANTHONY BRAWFORD
CHARLES SCHWAB & CO INC CUST
IRA ROLLOVER

Account Number
4144-8186

Trade Confirmation

SOLD AMERICAN CENTURY GINNIE MAE INV Cash Divs/Cash Cap Gains (Symbol: BGNMX)

Security No./CUSIP: 025081-60-5

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Type: Cash	Trade: 11/11/16	Settle: 11/14/16
4,642.526	10.69	49,628.60	N/A			
						Total Amount (\$)
						49,628.60

For this security:

- The cost basis method requested was Average. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Executed by fund
- Unsolicited trade
- Schwab acted as your agent.
- Schwab may receive remuneration from the registered investment company or other collective investment vehicle ("investment company"), its affiliate and/or its unaffiliated service provider for services rendered to, or in connection with certain shares of, the investment company. The remuneration may be based on the value of the aggregate net assets of such shares held in Schwab customer accounts. Further information can be furnished on written request.

Internal Use Only: 1,1

SOLD METROPOLITAN WEST TOTAL RETURN BOND M Cash Divs/Cash Cap Gains (Symbol: MWTRX)

Security No./CUSIP: 592905-10-3

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Type: Cash	Trade: 11/11/16	Settle: 11/14/16
4,612.546	10.79	49,769.37	N/A			
						Total Amount (\$)
						49,769.37

For this security:

- The cost basis method requested was Average. Please view the Cost Basis Disclosure Statement for additional information on cost basis method choices and how Schwab reports adjusted cost basis information to the IRS.
- Executed by fund
- Unsolicited trade

Internal Use Only: 1,1

Disclosures Continued on Next Page

Please see "Terms and Conditions" for more information.



Individual Retirement Account of
DENNIS ANTHONY BRAWFORD
CHARLES SCHWAB & CO INC CUST
IRA ROLLOVER

Account Number
4144-8186

Trade Confirmation

BOUGHT SPDR S&P 500 ETF (Symbol: SPY)

Security No./CUSIP: 78462F-10-3

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Type: Cash	Trade: 11/11/16	Settle: 11/16/16
936	216.13	202,297.68	Commission 8.95			
			Commission			
						202,306.63

For this security:

- Unless you have already instructed us differently, we will: hold this security in your account.
- Unsolicited trade
- Schwab acted as your agent.
- Prospectus prev. sent

Internal Use Only: 1, E

BOUGHT VANGUARD S&P SMALL CAP 600 VALUE ETF (Symbol: VIOV)

Security No./CUSIP: 921932-77-8

Quantity	Price (\$)	Principal (\$)	Charges and/or Interest (\$)	Type: Cash	Trade: 11/11/16	Settle: 11/16/16
620	110.37	68,429.40	Commission 8.95			
			Commission			
						68,438.35

For this security:

- Unless you have already instructed us differently, we will: hold this security in your account.
- Unsolicited trade
- Schwab acted as your agent.
- Prospectus prev. sent

Internal Use Only: 1, E

Please see "Terms and Conditions" for more information.

SHIP DATE: 25OCT21
ACTWT: 0.20 LB
CAD: 6990448/SSF02220

BILL CREDIT CARD

UKISIN LUTLEA (425) 334-5930
DENNIS BRAINFORD

2031 VERNON RD BLDG HOUSE

LAKE STEVENS, WA 98259
UNITED STATES US

TO BANKRUPTCY CASE #19-3008 (DM)
UNITED STATES BANKRUPTCY COURT
450 GOLDEN GATE AVENUE
COURTROOM 17, 16TH FLOOR
SAN FRANCISCO CA 94102

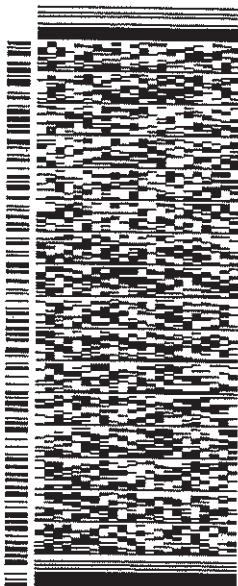
(415) 268-2800

REF:

DEPT:

PO:

FedEx
Express



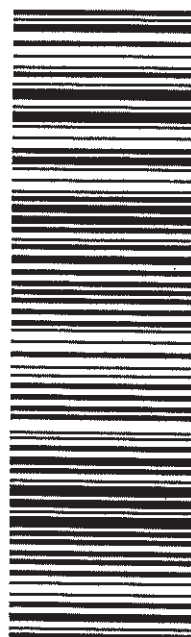
TUE - 26 OCT 10:30A
PRIORITY OVERNIGHT

TRK# 2853 3945 9484

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OCT 26 2021

UNITED STATES BANKRUPTCY COURT
SAN FRANCISCO, CA

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